

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert Miller et al.

Date: April 21, 2004

Group Art Unit:

2155

**IBM** Corporation

Examiner:

T. Nguyen

Intellectual Property Law

Serial No.:

09/697,398

Dept. 917, Bldg. 006-1

Filed:

October 27, 2000

3605 Highway 52 North

Title: GROUP DATA SHARING DURING

Rochester, MN 55901

MEMBERSHIP CHANGE IN

**CLUSTERED COMPUTER SYSTEM** 

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

APR 2 7 2004

**Technology Center 2100** 

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

04/26/2004 JADDO1

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Serial No. 09/697,398 Docket No. ROC920000129US1

- 1 -

37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 21, 2004

(Date of Deposit),

Debra A. Peterson

Respectfully submitted,

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PTO/SB/08A

Application Number.: Hiling Date:

09/697,398

INFORMATION DISCLO

First Named Inventor:

October 27, 2000 Robert Miller

STATEMENT BY APPLICANT RADEN

Art Unit:

2155 T. Nguyen

Sheet 1 of 1

**Examiner Name:** Attorney Docket Number.:

ROC920000129US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)  Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
	ļ	US - 5.883.939	03-16-1999	Friedman et al.	RECEIVED
	<del> </del>	US - 6,006,259	12-21-1999	Adelman et al.	
	<u> </u>	US - 6.078.957	06-20-2000	Adelman et al.	APR 2 7 2004
	<u> </u>	US - 6.216.150 B1	04-10-2001	Badovinatz et al.	Tooks
	ļ	US - 6.363.495 B1	03-26-2002	MacKenzie et al.	Technology Center 2100
		US - 6.425.014 B1	07-23-2002	Aiken. Jr. et al.	
		US - 6.449.641 B1	09-10-2002	Moiin et al.	
		US - 6.507.863 B2	01-14-2003	Novaes	
		US -			
		US -			
	L	US -			

FOREIGN PATENT DOCUMENTS

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Examiner Initials	Cite No.1	Foreign Patent Document  Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>	
				<u></u>			

Examiner Signature	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.